

**Agenda item: 13**

**Paper no: 9**

<b>Title of Report:</b>	<b>Joint Finance Report Month 7 2019/20 (including East Surrey CCG)</b>	
<b>Status:</b>	<b>TO NOTE</b>	
<b>Committee:</b>	<b>Governing Bodies in Common</b>	<b>Date:</b> 18/12/19
<b>Venue:</b>	Martineau Room, Dorking Hall	

<b>Presented by:</b>	Karen McDowell, Chief Financial Officer	
<b>Executive Lead sign off:</b>	Karen McDowell, Chief Financial Officer	<b>Date:</b> 15/11/19
<b>Author(s):</b>	Andy Law, North West Surrey CCG; Claire Fuller, North West Surrey CCG & Surrey Downs CCG Deputy CFO; Vicki Taylor, Guildford & Waverley CCG Deputy CFO	

**Governance:**

<b>Conflict of Interest:</b> The Author considers:	None identified	ü
<b>Previous Reporting:</b> (relevant committees/ forums this paper has previously been presented to)	N/A	
<b>Freedom of Information:</b> The Author considers:	Open – no exemption applies	ü

**Executive Summary:**

This report provides a summary of the joint finance position for the Surrey Heartlands CCGs for 2019/20 as at month 7 (31/10/19) including year to date, forecast outturn position and key assumptions, risks and actions.

**Implications:**

What is the <b>health impact/ outcome</b> and is this in line with the <b>CCG's strategic objectives</b> ?	Objective 1: Continue to work towards achieving sustainable systems.
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**Working together across Surrey Heartlands**

What is the <b>financial/resource</b> required?	As set out in this report
What <b>legislation, policy or other guidance</b> is relevant?	NHS Constitution and Standard Contract for 2019/20 Statutory targets for the year
Is an <b>Equality Analysis</b> required?	No
Any <b>Patient and Public Engagement/consultation</b> required?	No public consultation or public engagement needed
Potential <b>risk(s)</b> ? (including reputational)	Risks identified in the report are included within the CCG risk register. Achievement of financial performance against plan/target is a statutory requirement

**Recommendation(s):**

(1) **TO NOTE** the report

**Next Steps:**

N/A

## MONTH 7 FINANCE OVERVIEW

### 1. Overview of Month 7 Financial Position

- 1.1. The purpose of this paper is to update the Governing Body on the Month 7 (end of October) financial position, risks and actions for Surrey Heartlands CCGs (East Surrey, Guildford & Waverley, North West Surrey and Surrey Downs).
- 1.2. This report includes the month 7 East Surrey CCG position who formally joined Surrey Heartlands on 1<sup>st</sup> November 2019.
- 1.3. The key financial performance indicators are shown below:

	Year to Date: Month 7					Forecast Outturn				
	East Surrey	Guildford & Waverley	North West Surrey	Surrey Downs	Total	East Surrey	Guildford & Waverley	North West Surrey	Surrey Downs	Total
	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000
Planned In Year (Surplus) / Deficit	12,897	1,498	0	(187)	14,208	22,108	(2,790)	0	(320)	18,998
Actual In Year (Surplus) / Deficit	18,799	4,274	0	8,329	31,402	22,108	(2,790)	0	(320)	18,998
Variance against Planned (Surplus) / Deficit	5,902	2,776	0	8,516	17,194	0	0	0	0	0
Planned QIPP Programme Delivery	(4,502)	(8,267)	(6,013)	(17,400)	(36,182)	(9,650)	(14,791)	(10,674)	(30,035)	(65,150)
Actual QIPP Programme Delivery	(915)	(3,945)	(5,621)	(6,270)	(16,751)	(1,954)	(7,703)	(9,804)	(11,132)	(30,593)
Variance against QIPP Plan	3,587	4,322	392	11,129	19,430	7,696	7,088	870	18,903	34,557
Planned Running Cost Budget	1,704	2,867	4,471	3,753	12,795	2,927	4,915	7,664	6,434	21,940
Actual Running Cost Expenditure	2,445	2,862	3,994	3,460	12,761	3,080	4,915	7,132	5,943	21,070
Variance against Running Cost Allocation	741	(5)	(477)	(294)	(35)	153	0	(532)	(491)	(870)
Risk						17,952	11,700	2,617	19,552	51,821
Mitigation						4,447	3,636	250	0	8,333
Net Risk / (Mitigation)						13,505	8,064	2,367	19,552	43,488

- 1.4. The joint financial position across Heartlands CCGs as reported to NHS England is a year to date deficit of £31.4m which is an adverse variance to plan of £17.2m. The forecast deficit is £19.0m in line with plan.

### 2. East Surrey Financial Summary

- 2.1. The financial position at month 7 is a year to date deficit of £18.8m, a £5.9m adverse variance to plan and a forecast deficit of £22.1m in line with plan. The adverse movement in the year to date position represents £3.7m within acute services as a result of £1.1m of unmitigated over performance with Surrey & Sussex Healthcare (SaSH), undelivered system efficiency savings of £1.4m and a further £1.2m over performance with other acute providers. There are additional pressures on running costs and under accruals from 2018/19.
- 2.2. The forecast for the year is delivery of the planned deficit of £22.1m despite year to date performance being off plan. This represents a high risk to delivering the reported position. The CCG risks outside of the forecast are partially mitigated resulting in net risk of £13.5m.
- 2.3. The year to date QIPP performance is £0.9m savings against a year to date plan of £4.5m resulting in a £3.6m adverse variance. The forecast for the year is £2m against a plan of £9.6m. Under achievement of the efficiency program is a significant factor in the CCG not being able to meet its planned deficit.
- 2.4. The CCG continues to work closely with SaSH and Sussex CCGs in order to deliver the best possible financial position for the system. The emerging SaSH

system ICP continues to develop with further workshops planned. In the meantime, achieving the best possible financial position is being pursued through CFOs, Chief Executives and STP Chairs with NHSE/I being informed as discussions progress.

- 2.5. Net risks are £13.5m which includes undelivered planned system efficiency, year to date and further acute over performance and slippage on other QIPP delivery.

### **3. Guildford and Waverley Financial Summary**

- 3.1. The financial position at month 7 is a year to date deficit of £4.3m, a £2.8m adverse variance to plan and a forecast deficit of £2.8m in line with plan. The adverse movement in the year to date position represents unidentified QIPP and acute pressures across a number of providers.
- 3.2. The forecast outturn position assumes that £5.6m of unidentified QIPP savings can be delivered in order to meet the forecast deficit. Therefore the achievement of the forecast position is reliant upon the delivery of the financial recovery plan programmes and joint working with the main acute provider Trust (RSCH). This represents a high risk to delivering the reported position.
- 3.3. The CCG opening financial plan assumed delivery of savings against the unidentified QIPP (£6.8m) and the joint recovery plan (£2.6m) with Royal Surrey County Hospital (RSCH). Mitigations have been delivered through a number of joint recovery plan actions and non-recurrent benefits arising from 18/19. The remaining risk of non-delivery against these plans has been included within the reported net risk position. Whilst work has continued with the trust, further work is required to fully identify the values to deliver against these plans.
- 3.4. The CCG and RSCH are working in partnership and have produced a local ICP financial recovery plan. Working closely with the CCG, the focus has been to identify the steps that the trust can take in order to reduce cost and transform care to deliver the required efficiencies in 2019/20. However delivery of this plan is a significant risk to the ICP achieving its planned control in year. The joint plan identifies the risks and mitigations for 19/20 across both organisations and the steps taken to reduce the overall net risk however there is still a reported net risk being considered in order for the financial targets to be met.
- 3.5. The CCG has set a 0.5% contingency which has been released into the position prorata year to date and in full in the forecast positions.
- 3.6. Net risks are £8.1m, which includes the unidentified QIPP savings, the joint financial recovery plans and acute performance.

### **4. North West Surrey CCG Financial Summary**

- 4.1. The financial position at month 7 and in the forecast outturn is a breakeven position, both in line with the CCG's financial plan.
- 4.2. The year to date position with Ashford & St Peters is an over spend of £0.7m which reflects pressure in areas outside of the agreed block contract relating to critical care, drugs and the marginal rate on non-elective activity.

- 4.3. The forecast position includes a £2.2m unidentified QIPP gap which has been mitigated through the release of contingency funds in full. This has been reflected pro rata in the year to date position.
- 4.4. A North West Surrey ICP financial recovery plan has been developed. This focuses upon plans which support the financial economy of the North West system as a whole whilst protecting the individual financial positions of partner organisations. Plans include significant transformation programmes such as the Out of Hospital strategy, estates rationalization and the adoption of new technology.
- 4.5. Net risk is £2.4m. These relate to acute contract performance, prescribing and the CaMHs contract pressures.

## **5. Surrey Downs CCG Financial Summary**

- 5.1. The financial position at month 7 is a year to date deficit of £8.3m, a £8.6m adverse variance to plan and a forecast deficit of £0.3m surplus in line with plan. The adverse movement in the year to date position represents slippage on unidentified QIPP, joint financial recovery plans and acute pressures across a number of providers.
- 5.2. In order to deliver the reported forecast position it has been assumed that £19.7m of unidentified QIPP and Joint Recovery Plan (with Epsom & St Helier Hospital) savings are delivered. Therefore the achievement of the forecast position is reliant upon the delivery of the financial recovery plan programmes. This represents a high risk to delivering the reported position.
- 5.3. The CCG has a financial recovery requirement of £30m in 2019/20, this is a challenging task and will not be delivered by transactional and incremental change; the focus has been to identify a programme with the Trust to reduce cost and transform care to deliver the required efficiencies in 2019/20. The ICP has agreed a financial recovery programme which aims to deliver the QIPP programme over 2 financial years, with £15.2m being delivered in 2019/20. The balance of the savings requirement has been reported within net risk position.
- 5.4. As at month 7 it is forecast that £11.3m of the £15.2m plan will deliver. It has been recognized that the delivery in year is significantly challenging and a range of additional schemes are being developed in all areas of the programme to improve the forecast in year. However delivery of this plan is a significant risk to the CCG achieving its control total.
- 5.5. Net risks have remained to £19.6m to reflect the £3.5m within the joint financial recovery plan, agreed with ESHT. The balance of net risk includes unidentified QIPP, the joint recovery plan, acute performance and other risks in relation to prescribing.

## **6. Key Assumptions and Risks**

- 6.1. The delivery of the reported positions are dependent upon the delivery of financial recovery plans. These represent significant risk to achieving the reported forecast positions.

- 6.2. The data used to inform the month 7 acute position is based upon month 6 provider SLAM reports, or the block contract arrangements where these are in place.
- 6.3. Prescribing data from the Prescription Prescribing Authority (PPA) is received two months in arrears so the year to date and forecast positions within this report are based on August data.
- 6.4. With the exception of East Surrey, each CCG has released contingency prorate to month 7 and in full into the forecast positions to achieve the reported positions. East Surrey CCG, in order to maintain consistency with month 6 reporting have not released contingency into the year to date or forecast positions but have included this within their risk mitigations. This will be reviewed for month 8 reporting.
- 6.5. Other than the mandated 0.5% contingency the CCGs do not hold any further reserves in 2019/20 which can be released to support the position.

## **Governing Body Finance Report – Month 7**

### **1. Introduction**

- 1.1. The report details the financial position for the Surrey Heartlands CCGs for 2019/20 at the end of month 7 (31<sup>st</sup> October 2019).

### **2. Allocations**

- 2.1. NHS England monitors CCGs financial performance against ‘in-year’ allocations. As at Month 7, the in-year Revenue Resource Limit (RRL) of the CCGs is detailed in the table below. CCGs also receive a non-recurrent adjustment relating to the cumulative return of prior year surpluses or deficits. This allocation does not relate to 2019/20 and cannot be committed.

	East Surrey CCG	Guildford & Waverley CCG	North West Surrey CCG	Surrey Downs CCG	Total
Revenue Resource Limit	£000	£000	£000	£000	£000
Allocation as at Month 6	(268,644)	(323,694)	(526,824)	(436,676)	(1,555,838)
BCF Support	(281)	(318)	(529)	(443)	(1,571)
Adult and Children’s Palliative and End of Life Care Services	(77)	(86)	(149)	(124)	(436)
Enhanced GP IT infrastructure and resilience arrangements	(47)	(57)	(94)	(77)	(275)
IR Exercise	12	10	40	41	103
Community Mental Health Transformation Funding	0	(248)	0	0	(248)
Surrey Heartlands ICS 19/20 Funding - Personalised care group	0	0	(50)	0	(50)
<b>In Year 2019/20 Allocation (as at Month 7)</b>	<b>(269,037)</b>	<b>(324,393)</b>	<b>(527,606)</b>	<b>(437,279)</b>	<b>(1,558,315)</b>
Prior Year (Surplus) / Deficit Carried Forward	69,302	28,396	(8,744)	60,106	149,060
<b>Total Allocation</b>	<b>(199,735)</b>	<b>(295,997)</b>	<b>(536,350)</b>	<b>(377,173)</b>	<b>(1,409,255)</b>

### **3. Financial Performance to 31<sup>st</sup> October 2019**

- 3.1. The financial position for the first seven months shows a year to date deficit of £31.4m and a forecast outturn of a £19.0m deficit. The year to date position represents an adverse variance to plan of £17.2m whilst the forecast position is in line with plan. These positions are summarised below and detailed in Appendix A.

## Year to Date Position:

Budget Heading	East Surrey	Guildford & Waverley	North West Surrey	Surrey Downs	Total
	Over / (Underspend)	Over / (Underspend)	Over / (Underspend)	Over / (Underspend)	Over / (Underspend)
	£'000	£'000	£'000	£'000	£'000
General & Acute	3,733	4,264	2,715	10,455	21,167
Mental Health	(674)	(196)	(276)	(392)	(1,538)
Community	761	64	78	(194)	709
Continuing Healthcare	955	(270)	18	67	770
Primary Care (GP and other prescribing)	50	223	(387)	238	123
Primary Care	(58)	(42)	(203)	(172)	(475)
Primary Care Co-Commissioning	0	0	0	0	0
Running Costs	741	(5)	(476)	(294)	(34)
Better Care Fund	(266)	81	(1)	(4)	(190)
Other Contracting	660	(457)	(77)	252	378
New Investments & Reserves	0	0	0	(280)	(280)
Contingency	0	(885)	(1,390)	(1,161)	(3,437)
Planned (Surplus) / Deficit	12,897	1,498	0	(187)	14,208
<b>Net Position (Surplus) / Deficit</b>	<b>18,799</b>	<b>4,274</b>	<b>0</b>	<b>8,329</b>	<b>31,403</b>

## Forecast Outturn Position:

Budget Heading	East Surrey	Guildford & Waverley	North West Surrey	Surrey Downs	Total
	Over / (Underspend)	Over / (Underspend)	Over / (Underspend)	Over / (Underspend)	Over / (Underspend)
	£'000	£'000	£'000	£'000	£'000
General & Acute	4,084	2,275	3,038	2,510	11,908
Mental Health	(211)	(163)	(276)	(564)	(1,214)
Community	999	114	104	(213)	1,004
Continuing Healthcare	1,262	(450)	291	30	1,133
Prescribing	(132)	293	104	892	1,157
Primary Care (GP and other prescribing)	7	(154)	(189)	(227)	(563)
Primary Care Co-Commissioning	0	0	0	0	0
Running Costs	153	0	(532)	(491)	(871)
Better Care Fund	(458)	157	(2)	(6)	(309)
Other Contracting	(5,704)	(556)	(155)	443	(5,972)
New Investments & Reserves	0	0	0	(383)	(383)
Contingency	0	(1,517)	(2,382)	(1,990)	(5,889)
Planned (Surplus) / Deficit	22,108	2,790	0	(320)	24,578
<b>Net Position (Surplus) / Deficit</b>	<b>22,108</b>	<b>2,790</b>	<b>0</b>	<b>(320)</b>	<b>24,578</b>



#### 4. Acute Provider Position

4.1. The tables below detail the month 7 year to date and forecast positions for acute services across each CCG.

Budget Heading	Year to Date Month 7				
	East Surrey	Guildford & Waverley	North West Surrey	Surrey Downs	Total
	Over / (Underspend)	Over / (Underspend)	Over / (Underspend)	Over / (Underspend)	Over / (Underspend)
	£'000	£'000	£'000	£'000	£'000
Ashford & St Peters Foundation Trust	(39)	381	703	444	1,489
Royal Surrey County Hospital	(32)	0	342	(218)	92
Epsom & St Helier Hospitals	(324)	0	14	(142)	(452)
Kingston Hospital	0	n/a	48	(143)	(95)
South East Coast Ambulance	(104)	(45)	101	90	42
St Georges Hospital	271	63	118	(446)	6
Surrey & Sussex Healthcare	2,446	(98)	0	594	2,942
Frimley Health	0	290	(32)	(16)	242
Non Contracted Activity	206	(115)	(84)	(5)	2
Unidentified QIPP	696	5,510	1,269	9,649	17,124
Other	613	(1,722)	236	648	(225)
<b>Total</b>	<b>3,733</b>	<b>4,264</b>	<b>2,715</b>	<b>10,455</b>	<b>21,167</b>

Budget Heading	Forecast Outturn				
	East Surrey	Guildford & Waverley	North West Surrey	Surrey Downs	Total
	Over / (Underspend)	Over / (Underspend)	Over / (Underspend)	Over / (Underspend)	Over / (Underspend)
	£'000	£'000	£'000	£'000	£'000
Ashford & St Peters Foundation Trust	(86)	586	1,106	603	2,209
Royal Surrey County Hospital	(61)	0	469	(15)	393
Epsom & St Helier Hospitals	(552)	0	25	(74)	(601)
Kingston Hospital	0	n/a	82	48	130
South East Coast Ambulance	57	(45)	303	272	587
St Georges Hospital	462	19	126	(374)	233
Surrey & Sussex Healthcare	1,781	(92)	0	1,329	3,018
Frimley Health	0	420	(89)	(27)	304
Non Contracted Activity	353	(5)	(84)	(3)	261
Unidentified QIPP	1,723	3,867	2,176	379	8,145
Other	407	(2,475)	(1,078)	372	(2,774)
<b>Total</b>	<b>4,084</b>	<b>2,275</b>	<b>3,038</b>	<b>2,510</b>	<b>11,907</b>

A detailed analysis of the key provider positions for each CCG is shown below.

#### 4.2. Surrey and Sussex Healthcare Trust: East Surrey CCG Position

The Surrey and Sussex Healthcare NHS Trust forecast is based on month 6 flex activity, forecast forward based on calendar and working days. At month 7 the over spend is £2.4m, forecast to £1.8m by month 12. The forecast assumes achievement of the contract aligned system efficiency of £3.1m.

The main area of overspend based on month 6 data is non electives £0.6m, critical care £0.4m, A&E £0.3m, drugs and devices £0.2m and outpatient follow-ups £0.3m. This is partially offset by under spends on electives and elective excess bed days £0.2m, maternity £0.2m and other £0.4m.

A deep dive into the urgent and emergency activity earlier in the year found that:

- A&E activity has increased from the same period last year to this year. This is due to an increase in conveyed activity, but to a higher extent an increase in self-presenters. The self-presenter increase is working age adults during weekday afternoons.
- Non elective admissions have also increased year on year
- It is understood that SaSH are planning to increase elective capacity over the remainder of the year and are also planning on further income, part of which relates to an assumption around acuity/ winter.

#### 4.3. **Surrey and Sussex Healthcare Trust: Surrey Downs CCG Position**

The Surrey Downs SASH contract is agreed at £19.8m on a cost per case basis which includes a non-elective blended block element.

The month 7 position is an over spend of £594k which is forecast to be £1.33m by year end. This is consistent with the month 6 forecast outturn. Based on month 6 data the spend on non-electives has gone above the threshold for the non elective blended block which is set at 10%. Therefore there is no marginal rate credit.

The variance to plan on non-elective activity is driven by nervous system procedures and disorders, respiratory and digestive system. Within the reported performance there are also small under spends on electives, maternity and outpatient procedures.

#### 4.4. **Ashford and St Peter's Hospitals NHS Foundation Trust: North West Surrey CCG Position**

The 2019/20 acute contract is a block contract with the exception of drugs, devices and adult critical care which are cost per case. At month 7 the contract value has been updated to reflect the increase in budget relating to IR/PEL (Identification rules/Provider Eligibility Lists) where budget has been transferred back to the CCG from NHS England.

The North West Surrey position on the Ashford & St Peters Hospital (ASPH) contract at month 7 is a year to date over spend of £0.7m and a forecast outturn overspend of £1.1m. The reason for the movement in the forecast compared to month 6 is related to a slight deterioration in adult critical care spend.

The month 6 SLAM shows an over spend on drugs which mainly relates to ophthalmology drugs. Whilst there was a reduction in month 6 spend this is likely to be due to missing data and therefore this benefit has not been taken in the forecast outturn. There is an in-depth review in progress between the trust and CCG to further understand this variance. The over spend on drugs has been forecast forward to month 12 with an adjustment for expected reduction in spend in the later part of the year. An over spend on devices is due to CPAP devices, insulin pumps and consumables.

To date adult critical care spend on discharged patients is below plan, however the inclusion of patients not yet discharged reduces the underspend to a small overspend of £10k which has been maintained in the forecast outturn.

The contract form includes a non-elective blended block with a marginal rate capped at £200k. Spend to date is above this threshold (pro rata) with a £117k impact at month 7, with the full £200k assumed in the forecast outturn.

The underlying data behind the block contract shows that spend is above plan for A&E, procedures, direct access, elective excess bed days, non-elective admissions and emergency short stay admissions, this is partially offset by underspends on elective admissions, regular day admissions, BPT due to stroke achievement being lower than planned, outpatient first and follow ups. Day cases are below plan which relates to endoscopies, although activity is expected to catch up during the year and there are data corrections required due to the under recording of activity in prior months.

### Month 7 Summary:

	YTD Plan	YTD Actual	Variance	Annual Plan	FOT	Variance to FOT
Block	104,024	104,024	0	177,284	177,284	0
Drugs (Pass Through)	2,620	3,118	498	4,491	5,254	763
Devices (Pass Through)	769	848	78	1,319	1,453	134
Adult Critical Care (Cost per Case)	3,360	2,851	(508)	5,746	5,238	(508)
Adult Critical Care WIP	0	518	518	0	518	518
Blended Block	0	117	117	0	200	200
<b>Total Contract Value incl. Drugs and</b>	<b>110,772</b>	<b>111,475</b>	<b>703</b>	<b>188,840</b>	<b>189,946</b>	<b>1,106</b>

The CCG holds a separate dermatology contract with ASPH. At month 7 there is a year to date over spend of £239k which forecasts to an overspend of £382k at month 12 due to higher than planned activity, this is a slight increase from last month due to a flex to freeze increase and higher spend in month 6 on procedures.

#### 4.5. Ashford and St Peter's Hospitals NHS Foundation Trust: Other CCG Positions

The Guildford & Waverley position on the Ashford & St Peters contract at month 7 is a £381k over spend and a forecast outturn over spend of £586k. The over spend is related to bariatric surgery and vascular procedures.

The CCG holds a separate dermatology contract with ASPH which has been reported in line with plan.

The Surrey Downs CCG position on the Ashford & St Peters Hospital (ASPH) contract at month 6 is a year to date over spend of £444k and a forecast outturn over spend of £603k. The movement in position between month 6 and 7 relates to a flex to freeze increase and above plan spend within non electives and electives, which are above previous trend. There is also adult critical care work in progress of £12k with the large critical care WIP from month 6 now translating into actual spend. This spend has been treated as non recurrent and therefore it has not been extrapolated in the forecast outturn. Other main areas of over spend are in non-electives and procedures.

4.6. **Royal Surrey County Hospital NHS Foundation Trust: Guildford & Waverley Position**

The Guildford & Waverley position on the Royal Surrey County Hospital (RSCH) contract is breakeven in both the year to date and forecast positions.

The CCG has a 'matched control total' contract with the trust which means that on a quarterly basis performance is reconciled with the provider, with payment based upon the trusts ability to achieve their control total. Therefore pending reconciliation the position has been reported on plan.

The Trust have indicated that pressures arising in the forecast position will require support from the Commissioners in line with the contract in order for them to deliver their control total. This information is being validated with the Trust and will be updated for Month 8. The estimated additional cost has been included as a risk to the forecast.

4.7. **Royal Surrey County Hospital NHS Foundation Trust: Other CCGs**

The North West Surrey CCG year to date position at month 7 is £342k above plan, with a forecast over spend of £469k. The position has deteriorated in month, mainly due to a higher spends in adult critical care and electives in month 6.

This position will be reviewed with the trust in line with the principles agreement at the reconciliation point.

4.8. **Epsom & St Helier: Surrey Downs Position**

The 2019/20 contract across all services is agreed, most services within this contract have been blocked with the exception of drugs, devices and SWLEOC which are cost per case. At month 7 the position is breakeven across all the services except drugs and devices which are overspending by £25k and SWLEOC which is under spent by £142k, attributable to lower elective activity on trauma & orthopaedics. Based upon historic trends, this under spend has not been extrapolated into the forecast outturn and a deterioration assumed.

Although the main acute contract is blocked, meaning there is no variance to financial plan it should be noted that year to date the pressure points identified are within emergency activity and A&E (due to both higher activity and a more complex case mix caused by an increase in type 2 and a reduction in no investigation activity). The over performance on emergency activity has reduced in SLAM now the data has been corrected for CDU being charged at a national tariff rather than local tariff which was causing part of the over spend.

The table below summarised the contract by service and contract type.

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	Annual Plan	YTD Plan	YTD Actual	Variance	
	£'000s	£'000s	£'000s	£'000s	Contract Form
ESHT Acute Contract	107,391	62,645	62,645	-0	Block
ESHT High Cost Drugs (Acute)	3,932	2,293	2,318	25	Cost per case
ESHT Patient Transport (Acute)	2,277	1,328	1,328	0	Block
<b>Total Acute</b>	<b>113,600</b>	<b>66,267</b>	<b>66,291</b>	<b>25</b>	
SWLEOC	7,800	4,567	4,425	-142	Cost per case
Epsom Health and Care	2,500	1,458	1,458	0	Block
<b>Total Contract</b>	<b>123,900</b>	<b>72,292</b>	<b>72,175</b>	<b>-117</b>	

#### 4.9. **Kingston: Surrey Downs CCG Position**

The 2019/20 contract with Kingston Hospital is a block with a marginal rate for over performance above 2%. This is for all services except drugs and devices which are cost per case. Based on the month 6 SLAM data spend is below the block and therefore the contract value is being reported. A small year to date under spend has been reported for drugs and devices spend which forecasts to a small over spend by month 12.

#### 4.10. **St Georges: Surrey Downs & East Surrey CCG Positions**

The Surrey Downs St Georges contract is agreed for 2019/20 on a cost per case basis for 2019/20 at £12.8m. The month 7 position is an under spend of £446k with a forecast outturn of £374k. The forecast has been held at the year to date under spend with an adjustment for a deterioration over winter.

The East Surrey contract with St Georges has a month 7 over spend of £271k which is forecast to increase to £462k by month 12. The main area of over spend relates to non-elective activity.

#### 4.11. **Frimley Health**

For North West Surrey there is a month 7 under spend of £32k and a forecast under spend of £89k. This is consistent with the month 6 position with a slight improvement due to the correction of month 5 coding issues.

At Guildford & Waverley there is a £290k over spend which has been partially mitigated through the release of a 2018/19 accrual. The forecast position is a £420k over spend.

#### 4.12. **Queen Victoria: East Surrey CCG Position**

The overspend to date at month 7 is £0.2m and forecast to be £0.4m by month 12, a 14% overspend to plan. The increase from last month in the forecast of £0.2m is due to small budget reduction of £46k and an increase in spend, this partly relates to drugs and devices where previous months had data quality issues in this area.

The main drivers of the over spend relate to elective work, where an ophthalmology consultant has been recruited and is working to clear the backlog and bring down the RTT wait. This additional work is likely to have an impact in September, October and November. There is also an over spend on drugs and devices.

4.13. **Guys and St Thomas': East Surrey CCG Position**

There is an over spend to date of £0.2m, forecast forward to £0.3m. The main cause of this over spend is within adult critical care.

4.14. **South East Coast Ambulance**

The 2019/20 contract is agreed with a cap and collar around the contract value and marginal rates on activity. The call booking element has been agreed as a block.

Based on the month 6 data total activity is currently running below the collar, however in recent months conveyances have come closer to plan, and whilst call booking is above plan this has a blocked financial value. CCGs are reporting the collar on the underlying activity spend as well as the impact of the section 2, EOC clinical support and an adjustment to reflect the impact of the Surrey risk share arrangement. This risk adjustment has been agreed due to the varying increase in contract value from 2018/19 to 2019/20 across the wider Surrey CCGs which caused financial pressures during contract negotiations. This risk share aims to phase in the cost pressure to CCGs affected in 2019/20 offset by those CCGs not affected to same level.

4.15. **Private Providers: Surrey Downs Position**

At month 7 there is an over spend on acute private providers of £261k which forecasts to a month 12 overspend of £817k. The improvement in this position from month 6 relates to the Epsomedical contract where there is a marginal rate benefit applied to the spend above plan beyond an agreed threshold and a reduction in spend in recent months. The over spend to date at Epsomedical is caused by higher activity relating to planned care on dermatology and ophthalmology.

**5. Prescribing**

5.1. The Prescription Prescribing Authority (PPA) data is received two months in arrears so this report is based upon August data. The year to date positions are reflective of this, along with the release of any prior year accruals.

5.2. Notification has been received that from August there will be an increase in the cost of Category M drugs. The impact of this has been included within each CCGs forecast position.

5.3. Additionally, there are emerging cost pressures relating to No Cheaper Stock Obtainable (NCSO) price increases which have been reflected within each CCGs risk position.

**6. Primary Care Co-Commissioning**

6.1. Each CCG has delegated responsibility from NHS England for the commissioning of GMS/PMS and APMS contracts. Separate allocations have been received and budget plans for 2019/20 have been sent to the Primary Care Co-Commissioning Committee (PCCC) for approval.

6.2. Within each CCG's delegated budget is a level of uncommitted resource, which represents the gap between the 'bottom up' costing of commitments against the allocation received. This is broken down by CCG as Guildford & Waverley

(£301k), North West Surrey (£1,970k) and Surrey Downs (£636k). There has been agreement to utilise part of these reserves to fund additional payments to networks in addition to contractual requirements of the Primary Care Network DES. These relate to Clinical Director and Clinical Pharmacist funding. Additionally it has been agreed to use the reserve to fund the practice dispersal policy relating to Guildford & Waverley and North West Surrey practices, and practice resilience funding within Surrey Downs. Plans are being worked up on committing the remaining unallocated resource.

- 6.3. As part of the transition of East Surrey CCG into Surrey Heartlands a review of the delegated budget is being undertaken to determine the level of uncommitted reserve that is available. Once confirmed a paper detailing proposed spend will be presented to PCCC for approval.
- 6.4. At Month 7 each CCG has reported a breakeven position against their delegated budgets.

## **7. Mental Health**

- 7.1. The Surrey & Borders Partnership Foundation Trust (SABPT) Mental Health and Learning Disability SLAs are block contracts and managed as part of the Surrey Collaborative by Guildford & Waverley CCG.
- 7.2. Guildford & Waverley CCG also host a number of other Mental Health budgets under the Surrey risk share collaborative agreement. A breakeven position against these budgets has been reported, adjusted for any prior year over accruals.
- 7.3. Improving Access to Psychological Therapies (IAPT) are locally managed and budgets have been set based on achieving the NHS England target of a 22% referral rate by the end of 2019/20. Year to date under spends against these budget lines reflect under performance against this target.
- 7.4. For East Surrey CCG the Core 24 Psychiatric Liaison service at SaSH has slippage which relates to vacant posts. This has been reported within the forecast position.
- 7.5. Additionally for non-contracted activity there is an under spend at Surrey Downs and an over spend at North West Surrey.

## **8. Childrens**

- 8.1. Guildford & Waverley CCG manage the community and continuing healthcare for children contract, on behalf of Surrey CCGs, delivered by Surrey Healthy Children and Families LLP.
- 8.2. There has been agreement from the Surrey Heartlands CCGs to fund the additional paediatric costs for 2019/20, identified as part of the Royal College of Paediatric Child Health (RCPCH) review. This additional cost pressure is reported within the forecast and year to date positions, and is covered by reserves set at the start of the year.

8.3. An agreement has now been reached in clearing a backlog in therapies which is included within the forecast positions.

## 9. Continuing Healthcare and Free Nursing Care

9.1. Surrey Downs CCG host Continuing Healthcare budgets under the Surrey risk share collaborative. The reported positions are based upon latest information received, with the benefit of any 2018/19 over accruals released into the positions.

9.2. Each CCG is required to make a provision for retrospective claims from patients who may be eligible for continuing healthcare. The impact of this is a cost pressure which has been included within each CCGs position.

## 10. Better Care Fund

10.1. CCGs are required to contribute into the Better Care Fund, a pooled budget hosted by Surrey County Council. Any over or under spends are shared equally between each partner. Within this fund there are a number of schemes which are either commissioned by CCGs or Surrey County Council.

10.2. Better Care Fund planning guidance was received in July which requires CCGs to increase funding on 2018/19 plans by circa 6%. This is in excess of the 1.79% increase that CCGs were advised to increase contributions by NHS England (NHSE) as part of the 2019/20 planning round. CCGs have received additional allocations from NHSE in month 7 to fund this required increase.

10.3. Plans for 2019/20 have been agreed with Surrey County Council and were submitted at the end of September. The reported position against this plan is as per the table below:

BCF Summary Category	Annual Plan					Forecast					Forecast Variance				
	East Surrey	Guildford & Waverley	North West Surrey	Surrey Downs	Total	East Surrey	Guildford & Waverley	North West Surrey	Surrey Downs	Total	East Surrey	Guildford & Waverley	North West Surrey	Surrey Downs	Total
	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000
Care Act Revenue	396	453	778	647	2,274	396	453	778	647	2,274	0	0	0	0	0
Carers	380	435	747	621	2,183	380	435	747	621	2,183	0	0	0	0	0
Health Commissioned Services	3,899	3,503	6,827	5,567	19,796	3,899	3,503	6,827	5,567	19,796	0	0	0	0	0
Continuing Investment in Health and Social Care - CCG Managed Schemes	1,193	1,509	2,339	2,029	7,070	1,193	1,509	2,335	2,029	7,066	0	0	(4)	0	(4)
Continuing Investment in Health and Social Care - SCC Managed Schemes	1,372	1,847	2,529	2,559	8,307	1,372	1,847	2,529	2,559	8,307	0	0	0	0	0
Protection of Adult Social Care	3,997	4,342	8,427	6,760	23,526	3,997	4,342	8,427	6,760	23,526	0	0	0	0	0
To be Allocated	0	554	0	0	554	0	554	0	0	554	0	0	0	0	0
<b>BCF Plan Submission Total</b>	<b>11,237</b>	<b>12,089</b>	<b>21,647</b>	<b>18,183</b>	<b>63,710</b>	<b>11,237</b>	<b>12,089</b>	<b>21,643</b>	<b>18,183</b>	<b>63,706</b>	<b>0</b>	<b>0</b>	<b>(4)</b>	<b>0</b>	<b>(4)</b>
Disabled Facilities Grant	1,118	1,105	3,193	2,436	7,852	1,118	1,105	3,193	2,436	7,852	0	0	0	0	0
Improved BCF and Spring Funding	1,073	1,229	2,110	1,754	6,166	1,073	1,229	2,110	1,754	6,166	0	0	0	0	0
Winter Pressures Grant	606	694	1,191	990	3,481	606	694	1,191	990	3,481	0	0	0	0	0
Additional Local Authority Contribution	0	0	163	0	163	0	0	163	0	163	0	0	0	0	0
Carry Forward	62	6	14	116	198	62	6	14	116	198	0	0	0	0	0
<b>Grand Total</b>	<b>14,096</b>	<b>15,123</b>	<b>28,318</b>	<b>23,479</b>	<b>81,570</b>	<b>14,096</b>	<b>15,123</b>	<b>28,314</b>	<b>23,479</b>	<b>81,566</b>	<b>0</b>	<b>0</b>	<b>(4)</b>	<b>0</b>	<b>(4)</b>

## 11. Running Costs

11.1. Running costs are shared across the Surrey Heartlands CCGs (excluding East Surrey) proportionally based upon running costs allocations received. The total running cost allocation is £19m.

11.2. At month 7 there is an under spend of £0.8m which has been apportioned across each CCG. This under spend is being used to fund the costs of the Children's Commissioning and Quality team (North West Surrey & Surrey Downs) and IFR team (North West Surrey) which are now classified as



programme expenditure but had previously been budgeted against running costs.

- 11.3. For East Surrey CCG there is an over spend at month 7 of £741k, forecast to reduce to £153k by year end. This assumes the delivery of £752k QIPP. The over spend is mainly due to recharges from the East Surrey & Sussex Alliance for 2018/19 and 2019/20 that hadn't been budgeted for.

## 12. New Investments, Reserves and Contingencies

- 12.1. In order to achieve the reported positions at Month 7 contingency funds have been released in full in the forecast positions and prorate (7 months or 58%) in the year to date positions for Guildford & Waverley, North West Surrey and Surrey Downs CCGs. The East Surrey position has not released contingency at month 7 but maintained this as mitigation against risk.

## 13. Quality Innovation Productivity and Performance (QIPP)

- 13.1. In order to achieve planned control totals for 2019/20 each CCG has a QIPP savings requirement. The total across all CCGs is £65.2m and year to date and forecast positions are summarised below:

CCG	Year to Date			Forecast		
	Plan £000	Actual £000	Variance £000	Plan £000	Actual £000	Variance £000
East Surrey CCG	4,502	915	3,587	9,650	1,954	7,696
Guildford & Waverley CCG	8,267	3,945	4,322	14,791	7,703	7,088
North West Surrey CCG	6,013	5,621	392	10,674	9,804	870
Surrey Downs CCG	17,400	6,270	11,129	30,035	11,132	18,903
<b>Total</b>	<b>36,182</b>	<b>16,751</b>	<b>19,430</b>	<b>65,150</b>	<b>30,593</b>	<b>34,557</b>

- 13.2. For further detail behind QIPP delivery please refer to the separate QIPP Delivery Report.

## 14. Risks and Mitigation

- 14.1. A summary of the net risk position is shown below:

CCG	Net Risk		
	Worse £000	Likely £000	Best £000
East Surrey CCG	(16,070)	(13,504)	(9,924)
Guildford & Waverley CCG	(10,364)	(8,064)	(5,764)
North West Surrey CCG	(3,737)	(2,367)	(1,317)
Surrey Downs CCG	(24,573)	(19,552)	(17,073)
<b>Total</b>	<b>(54,744)</b>	<b>(43,487)</b>	<b>(34,078)</b>

- 14.2. An assessment of the "best", "worse" and "likely" case risk has been undertaken by each CCG, the detail of which is shown in Appendix B. The combined likely net risk is £43.5m.

#### 14.3. Key risks at month 7 are:

- **Unidentified QIPP** - identification and delivery of a further £19.9m savings. ICPs have developed local financial recovery plans to identify efficiencies and schemes to close this gap. The reported financial positions assume that this is delivered in order to achieve the planned control totals. This is rated as **high risk**.
- **Joint Recovery Plan QIPP** – joint delivery plans between Guildford & Waverley and Royal Surrey (£2.6m), Surrey Downs and Epsom & St Helier (£15m) and East Surrey and Surrey and Sussex (£3.1m) are being developed. Whilst agreed in principle there remains a risk to delivery. The reported financial positions assume that this is delivered in order to achieve the planned control totals. This is rated as **high risk**.
- **Other QIPP** – risk to delivery of other non-acute QIPP schemes. This is rated as **medium risk**.
- **Healthcare Contracts** - The agreement of contracts with providers within current budget planning assumptions. The mitigation for this risk is a robust negotiation approach to ensure contracts are within the financial envelopes. This is rated as **medium risk**.
- **Acute activity over performance** - This will be mitigated by assurance and proactive monitoring and delivery of monthly rigorous performance management of contracts. This is rated as a **medium risk**.
- **Medicines Management** – Increased costs due to continued pressures created by 'No Cheaper Stock Obtainable' drug price increases. This is rated as **medium risk**.

14.4. A deep dive review is being undertaken within each ICP to review the level of system risk and the potential for movement in both commissioner and provider forecasts for month 9. Any movement in position will require system wide approval.

#### 15. Cash Position

15.1. The CCGs draw down cash at the beginning of the month from NHS England to ensure that creditors can be paid on time. At month 7 each CCG has drawn down cash at a rate as detailed below.

#### 16. Better Payment Practice Code

16.1. The Better Payments Practice Code measures the number of CCG invoices paid within 30 days as a percentage of the total invoices paid by value and volume with an achievement target of 95%. Cumulative performance at month 7 by CCG is detailed below:

CCG	Maximum Cash Drawdown (Annual) £m	Cash Drawdown at Month 7 £m	% Drawdown
East Surrey CCG	290.6	170.8	58.8%
Guildford & Waverley CCG	326.7	192.5	58.9%
North West Surrey CCG	526.9	306.2	58.1%
Surrey Downs CCG	436.3	277.6	63.6%
<b>Total</b>	<b>1,580.5</b>	<b>947.1</b>	<b>59.9%</b>

## 17. Debtors

17.1. The debtors position as at 31<sup>st</sup> October 2019 for each CCG is shown below along with the movement from 30<sup>th</sup> September 2019.

CCG	Balance at 31st October 2019 £000	Balance at 30th September 2019 £000	Movement £000
East Surrey CCG	2,689	9,822	(7,133)
Guildford & Waverley CCG	11,982	9,541	2,441
North West Surrey CCG	4,864	3,872	992
Surrey Downs CCG	6,440	3,697	2,743

17.2. The increase in Guildford and Waverley debtors mainly relates to invoices raised to Surrey County Council. The Surrey Downs increase partly relates to a recharge invoice raised to NHSE for delegated commissioning expenditure that related to 2018/19.

## 18. Creditors

18.1. The creditors position as at 31<sup>st</sup> October 2019 for each CCG is shown below along with the movement from 30<sup>th</sup> September 2019.

CCG	Balance at 31st October 2019 £000	Balance at 30th September 2019 £000	Movement £000
East Surrey CCG	20,455	25,565	(5,110)
Guildford & Waverley CCG	40,796	37,763	3,033
North West Surrey CCG	48,620	49,807	(1,187)
Surrey Downs CCG	51,861	47,357	4,504

18.2. The increase in Guildford & Waverley creditors mainly relates to invoices received in month from Surrey & Borders Partnership NHS Foundation Trust

relating to Children Healthy Family Service. The increase at Surrey Downs relates to Better Care Fund invoices from Surrey County Council (£3m).

18.3. A copy of each CCGs balance sheet is shown in appendix C.

## **19. Conclusion**

19.1. The Month 7 financial position across all 3 CCGs is a deficit of £31.4m. This is an adverse variance to plan of £17.2m, £5.9m at East Surrey, £2.8m at Guildford & Waverley and £8.5m at Surrey Downs and reflects the release of year to date unidentified QIPP into the reported positions.

19.2. Based on the information available and assumptions contained within the report a year end position of a £19.0m deficit has been reported.

19.3. There is a high level of risk still to be managed and mitigated in order to deliver this position. The most significant risk is the delivery of unidentified QIPP gap and joint recovery plan QIPP. The reported position assumes that financial recovery plans are delivered to achieve this level of saving. Joint working with all system partners continues in order to identify and mitigate these risks where possible and to further develop the joint recovery plans.

Karen McDowell

Director of Finance, Surrey Heartlands Integrated Care System and CCGs

# APPENDIX A East Surrey CCG Financial Position

East Surrey CCG

Board Summary Expenditure Summary for Month 7 ending October 2019	Annual Budget	M7 YTD			M7 FOT		Prior Month YTD		Prior Month FOT	
		M7 Budget	M7 Actual	M7 Over / (Underspend)	M7 Forecast Outturn	M7 Forecast Over/(Under spend)	Over / (Underspend)	Movement (M6- M7)	Over / (Underspend)	Movement (M6- M7)
<b>General and Acute Commissioning</b>										
Surrey/Sussex HC NHST	112,370	66,226	68,672	2,446	114,151	1,781	2,700	(254)	3,360	(1,579)
Epsom/St Helier NHST	3,603	2,110	1,786	(324)	3,050	(552)	(285)	(39)	(433)	(119)
St Georges Hospital	3,514	2,057	2,328	271	3,976	462	200	71	403	58
Royal Surrey County	1,316	771	739	(32)	1,255	(61)	(17)	(14)	(39)	(22)
Other Acute NHS	14,133	8,288	8,933	645	16,635	2,501	1,131	(486)	1,646	855
South East Coast Ambulance	7,317	4,268	4,164	(104)	7,374	57	27	(131)	54	4
Acute Non-NHS (Private Providers)	7,704	4,521	3,453	(1,069)	6,188	(1,515)	(1,029)	(39)	(1,552)	37
NCA/Cost per case	6,888	4,115	4,400	285	7,107	219	188	97	140	79
Other Acute	2,259	1,044	1,962	918	1,728	(531)	72	846	(301)	(230)
Unidentified QIPP (Acute)	(2,264)	(696)	0	696	(542)	1,723	416	280	1,709	14
<b>General and Acute Commissioning Total</b>	<b>156,839</b>	<b>92,703</b>	<b>96,436</b>	<b>3,733</b>	<b>160,923</b>	<b>4,084</b>	<b>3,403</b>	<b>330</b>	<b>4,987</b>	<b>(903)</b>
<b>Mental Health</b>										
Surrey & Borders Adult MH & LD Contract	16,113	9,399	9,110	(290)	15,635	(478)	(328)	38	(656)	178
Mental Health S117/TCP	1,297	756	290	(466)	501	(796)	16	(483)	33	(829)
CAMHs Services	2,543	1,483	1,482	(1)	2,541	(1)	(2)	0	(2)	0
IAPT	1,908	1,113	1,202	89	2,061	153	65	24	113	39
Other Mental Health Services	3,843	2,242	2,236	(6)	3,787	(56)	(2)	(3)	(93)	37
Unidentified QIPP (Mental Health)	(967)	0	0	0	0	967	0	0	790	177
<b>Mental Health Total</b>	<b>24,736</b>	<b>14,994</b>	<b>14,320</b>	<b>(674)</b>	<b>24,525</b>	<b>(211)</b>	<b>(251)</b>	<b>(423)</b>	<b>186</b>	<b>(397)</b>
<b>Community Services Commissioning</b>										
First Community Health and Care	18,782	10,794	10,791	(3)	18,826	44	(2)	(1)	(26)	70
Other Community Services	4,748	2,663	2,995	331	5,243	495	256	76	574	(80)
Voluntary Sector Contracts	1,973	1,151	1,584	433	2,433	461	27	406	60	401
<b>Community Services Commissioning Total</b>	<b>25,503</b>	<b>14,609</b>	<b>15,370</b>	<b>761</b>	<b>26,502</b>	<b>999</b>	<b>281</b>	<b>480</b>	<b>608</b>	<b>391</b>
<b>Continuing Healthcare</b>										
Adult Continuing Healthcare and FNC	13,310	7,287	8,330	1,043	14,682	1,372	0	1,043	0	1,371
CHC Hosted Service	768	448	469	21	847	79	11	10	26	52
Childrens Continuing Healthcare	1,148	670	560	(110)	959	(188)	(55)	(55)	(100)	(89)
<b>Continuing Healthcare Total</b>	<b>15,226</b>	<b>8,404</b>	<b>9,359</b>	<b>955</b>	<b>16,488</b>	<b>1,262</b>	<b>(43)</b>	<b>998</b>	<b>(73)</b>	<b>1,335</b>
<b>Prescribing and Primary Care</b>										
Practice Prescribing	23,821	13,889	13,709	(180)	23,511	(310)	2	(183)	(363)	53
Other Prescribing Costs	1,590	927	1,158	230	1,767	177	181	49	137	40
Primary Care Other	6,388	3,591	3,533	(58)	6,395	7	(13)	(45)	45	(38)
GPFV	1,034	362	362	0	1,034	0	0	0	(0)	0
Primary Care Co-Commissioning	22,479	13,015	13,015	0	22,479	(0)	(3)	3	(0)	0
<b>Prescribing and Primary Care Total</b>	<b>55,312</b>	<b>31,785</b>	<b>31,777</b>	<b>(8)</b>	<b>55,186</b>	<b>(125)</b>	<b>168</b>	<b>(176)</b>	<b>(181)</b>	<b>56</b>
<b>Running Costs</b>										
Running Costs	2,927	1,704	2,445	741	3,080	153	(0)	741	(0)	153
FOT Gap	0	0	0	0	0	0	0	0	0	0
<b>Running Costs Total</b>	<b>2,927</b>	<b>1,704</b>	<b>2,445</b>	<b>741</b>	<b>3,080</b>	<b>153</b>	<b>(0)</b>	<b>741</b>	<b>(0)</b>	<b>153</b>
<b>Other Contracts</b>										
Better Care Fund	7,150	4,113	3,847	(266)	6,692	(458)	(207)	(59)	(392)	(66)
Other Programme Costs	102	58	782	725	975	874	813	(88)	1,020	(146)
Other Contracting	1,926	1,123	1,131	8	1,938	12	(0)	8	10	2
FOT Gap	0	0	3	3	(6,258)	(6,258)	2	1	(5,885)	(373)
NHS Property Services	87	51	(24)	(75)	(244)	(331)	(100)	25	(374)	43
<b>Other Contracts Total</b>	<b>9,264</b>	<b>5,345</b>	<b>5,739</b>	<b>394</b>	<b>3,103</b>	<b>(6,162)</b>	<b>507</b>	<b>(113)</b>	<b>(5,621)</b>	<b>(540)</b>
<b>New Investments, Reserves &amp; Contingency</b>										
Contingency	1,338	0	0	0	1,338	0	0	0	0	0
Investments	0	(0)	0	0	0	0	0	0	0	0
Unidentified QIPP (Other)	0	0	0	0	0	0	47	(47)	94	(94)
<b>New Investments, Reserves &amp; Contingency Total</b>	<b>1,338</b>	<b>(0)</b>	<b>0</b>	<b>0</b>	<b>1,338</b>	<b>0</b>	<b>47</b>	<b>(47)</b>	<b>94</b>	<b>(94)</b>
<b>Total Expenditure</b>	<b>291,145</b>	<b>169,543</b>	<b>175,445</b>	<b>5,902</b>	<b>291,145</b>	<b>(0)</b>	<b>4,113</b>	<b>1,790</b>	<b>(0)</b>	<b>(0)</b>
<b>Planned Deficit</b>										
Planned Deficit	(22,108)	(12,897)	0	12,897	0	22,108	11,054	1,842	22,108	0
<b>Planned Surplus</b>	<b>(22,108)</b>	<b>(12,897)</b>	<b>0</b>	<b>12,897</b>	<b>0</b>	<b>22,108</b>	<b>11,054</b>	<b>1,842</b>	<b>22,108</b>	<b>0</b>
<b>Grand Total</b>	<b>269,037</b>	<b>156,646</b>	<b>175,445</b>	<b>18,799</b>	<b>291,145</b>	<b>22,108</b>	<b>15,167</b>	<b>3,632</b>	<b>22,108</b>	<b>(0)</b>

## Guildford and Waverley CCG Financial Position

	Expenditure					
	Current Annual Budget	M7 YTD			M7 Forecast Outturn	
		Budget	Actual	Over / (Underspend)	Total	Over / (Underspend)
		£'000	£'000	£'000	£'000	£'000
<b>General and Acute Commissioning</b>						
Royal Surrey County Hospital	126,224	73,631	73,631	0	126,224	0
Frimley Park Hospital	7,491	4,370	4,659	290	7,911	420
Ashford & St Peters Hospitals	3,932	2,294	2,675	381	4,517	586
Epsom & St Helier Hospitals	1,933	1,127	1,127	0	1,933	0
Surrey & Sussex Healthcare	373	218	119	(98)	281	(92)
St Georges Hospital	2,599	1,516	1,579	63	2,618	19
Overseas Visitors	443	258	258	0	443	0
Tertiary & Other Acute SLAs	4,404	2,569	2,960	391	5,140	736
Acute Reserve	3,620	2,112	(214)	(2,326)	(3)	(3,623)
Unidentified QIPP	(9,445)	(5,510)	0	5,510	(5,578)	3,867
Other Acute NHS	1,670	974	865	(110)	1,545	(125)
South East Coast Ambulance	7,403	4,319	4,273	(45)	7,358	(45)
Acute Non NHS (Private Providers)	2,835	1,654	2,028	374	3,423	588
Acute Exclusions/Cost per Case	111	65	14	(51)	60	(51)
NCA/Cost per Case	3,455	2,016	1,900	(115)	3,450	(5)
Winter Pressures	0	0	0	0	0	0
<b>Total General &amp; Acute Commissioning</b>	<b>157,048</b>	<b>91,612</b>	<b>95,875</b>	<b>4,264</b>	<b>159,323</b>	<b>2,275</b>
<b>Mental Health</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Surrey & Borders Adult MH & LD Contract	19,611	11,440	11,441	1	19,614	3
Mental Health S117/Transforming Care Programme	5,992	3,495	3,446	(49)	5,949	(43)
CAMHs Services	2,842	1,658	1,628	(30)	2,812	(30)
IAPT	3,044	1,776	1,607	(169)	2,875	(169)
Other Mental Health Services	384	224	275	51	460	76
<b>Total Mental Health</b>	<b>31,873</b>	<b>18,592</b>	<b>18,396</b>	<b>(196)</b>	<b>31,710</b>	<b>(163)</b>
<b>Community Services Commissioning</b>						
Adult Community Services	14,193	8,279	8,279	0	14,193	0
Childrens Community Services	2,684	1,566	1,587	21	2,721	36
Wheelchair Services	717	419	419	0	717	0
Out of Hospital Providers	322	188	233	46	400	78
Voluntary sector Contracts	1,946	1,135	1,133	(2)	1,946	0
<b>Total Community Services Commissioning</b>	<b>19,862</b>	<b>11,586</b>	<b>11,651</b>	<b>64</b>	<b>19,977</b>	<b>114</b>
<b>Continuing Healthcare</b>						
Continuing Healthcare & Free Nursing Care	14,473	8,443	8,081	(362)	14,023	(450)
Children's Services	801	468	468	0	801	0
CHC Hosted Services	651	380	471	92	651	0
<b>Total Continuing Healthcare</b>	<b>15,925</b>	<b>9,290</b>	<b>9,019</b>	<b>(270)</b>	<b>15,475</b>	<b>(450)</b>
<b>Total Commissioning Costs</b>	<b>224,708</b>	<b>131,080</b>	<b>134,942</b>	<b>3,862</b>	<b>226,485</b>	<b>1,776</b>
<b>Prescribing &amp; Primary Care</b>						
Practice Prescribing	27,736	16,179	16,483	304	28,029	293
Other Prescribing Costs	2,271	1,325	1,243	(81)	2,271	0
Primary Care Other	7,161	4,178	4,136	(42)	7,007	(154)
Primary Care Co-Commissioning	27,821	15,701	15,701	0	27,821	0
<b>Total Prescribing &amp; Primary Care</b>	<b>64,989</b>	<b>37,383</b>	<b>37,563</b>	<b>181</b>	<b>65,128</b>	<b>139</b>
<b>Running Costs</b>	<b>4,915</b>	<b>2,867</b>	<b>2,862</b>	<b>(5)</b>	<b>4,915</b>	<b>0</b>
<b>Other Contracts</b>						
Better Care Fund	8,314	4,850	4,931	81	8,471	157
Other Contracting	9,464	5,520	5,331	(189)	9,368	(96)
NHS Property Services	576	336	68	(268)	116	(460)
<b>Total Other Contracts</b>	<b>18,354</b>	<b>10,706</b>	<b>10,329</b>	<b>(377)</b>	<b>17,955</b>	<b>(398)</b>
<b>New Investments, Reserves &amp; Contingency</b>						
Transformation Fund	12,700	7,408	7,408	0	12,700	0
Contingency	1,517	885	0	(885)	0	(1,517)
<b>Total New Investments, Reserves &amp; Contingency</b>	<b>14,217</b>	<b>8,293</b>	<b>7,408</b>	<b>(885)</b>	<b>12,700</b>	<b>(1,517)</b>
<b>Total Other Contracting &amp; Reserves</b>	<b>32,571</b>	<b>19,000</b>	<b>17,738</b>	<b>(1,262)</b>	<b>30,655</b>	<b>(1,915)</b>
<b>Total Expenditure</b>	<b>327,183</b>	<b>190,329</b>	<b>193,105</b>	<b>2,775</b>	<b>327,183</b>	<b>0</b>
<b>Planned Deficit</b>	<b>(2,790)</b>	<b>(1,498)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Net Position</b>	<b>324,393</b>	<b>188,831</b>	<b>193,105</b>	<b>(4,274)</b>	<b>327,183</b>	<b>(2,790)</b>

# North West Surrey Financial Position

North West Surrey CCG		Expenditure									
		M7 YTD				M7 Forecast Outturn		Prior Month YTD (M6)		Prior Month Forecast Outturn (M6)	
		Current Annual Budget	Budget	Actual	Over / (Underspend)	Total	Over / (Underspend)	Over / (Underspend)	Movement	Over / (Underspend)	Movement
		£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000
<b>General and Acute Commissioning</b>											
1	Ashford St Peters	188,840	110,772	111,475	703	189,946	1,106	645	58	1,080	27
2	Royal Surrey County	15,457	9,017	9,359	342	15,926	469	181	161	349	120
3	St Georges Hospital	4,842	2,825	2,942	118	4,968	126	(49)	167	(97)	223
4	Frimley Park Hospital	8,986	5,242	5,210	(32)	8,898	(89)	(43)	11	(54)	(35)
5	iMSK Service	28,454	16,598	16,598	0	28,454	0	0	0	0	(0)
6	Dermatology Service	1,754	1,023	1,262	239	2,135	382	166	73	359	23
7	Other Acute NHS	7,045	1,770	1,809	39	5,685	(1,360)	(88)	127	(1,570)	210
8	South East Coast Ambulance	14,190	8,090	8,191	101	14,493	303	87	14	174	130
9	Acute Non NHS (Private Providers)	2,561	1,494	1,476	(18)	2,548	(13)	(20)	2	9	(22)
10	Acute Exclusions/Cost per Case	633	369	280	(90)	544	(90)	0	(90)	0	(90)
11	NCA/Cost per Case	5,159	3,009	2,926	(84)	5,075	(84)	(81)	(3)	0	(84)
12	Tertiary	12,693	7,404	8,098	694	13,773	1,079	866	(172)	1,377	(297)
13	Winter Pressures	287	167	167	(0)	287	(0)	(0)	(0)	(0)	(0)
14	Transactional QIPP	(3,900)	(2,275)	(2,841)	(566)	(4,870)	(970)	(485)	(81)	(970)	(0)
15	Unidentified QIPP	(2,176)	(1,269)	0	1,269	(0)	2,176	1,088	181	2,176	0
16	Partial Spells	0	0	0	0	0	0	0	0	0	0
17	<b>Total General &amp; Acute Commissioning</b>	<b>284,825</b>	<b>164,237</b>	<b>166,952</b>	<b>2,715</b>	<b>287,862</b>	<b>3,038</b>	<b>2,267</b>	<b>448</b>	<b>2,832</b>	<b>206</b>
<b>Mental Health</b>											
18	Surrey & Borders Adult MH & LD Contract	30,207	17,621	17,621	0	30,207	0	0	0	0	0
19	Mental Health S117/Transforming Care Programme	2,399	1,399	1,419	20	2,383	(16)	1	19	(8)	(8)
20	CAMHS Services	5,808	3,388	3,401	13	5,824	16	14	(2)	10	6
21	IAPT	3,656	2,132	1,801	(331)	3,292	(363)	(297)	(34)	(297)	(66)
22	Other Mental Health Services	3,972	2,317	2,339	22	4,059	87	10	12	104	(17)
23	<b>Total Mental Health</b>	<b>46,041</b>	<b>26,857</b>	<b>26,581</b>	<b>(276)</b>	<b>45,765</b>	<b>(276)</b>	<b>(271)</b>	<b>(5)</b>	<b>(191)</b>	<b>(85)</b>
<b>Community Services Commissioning</b>											
24	Central Surrey Health	23,483	13,698	13,705	7	23,496	13	6	0	13	(0)
25	Childrens Community Services	4,338	2,531	2,529	(2)	4,338	0	0	(2)	0	0
26	Wheelchair Services	762	445	445	1	762	0	0	0	1	(1)
27	Out of Hospital Providers	1,578	920	956	36	1,608	30	38	(2)	11	20
28	Voluntary sector Contracts	2,518	1,469	1,505	36	2,579	61	27	10	54	7
29	<b>Total Community Services Commissioning</b>	<b>32,680</b>	<b>19,063</b>	<b>19,141</b>	<b>78</b>	<b>32,784</b>	<b>104</b>	<b>71</b>	<b>6</b>	<b>79</b>	<b>26</b>
<b>Continuing Healthcare</b>											
30	Continuing Healthcare & Free Nursing Care	22,998	13,416	13,418	3	23,326	328	108	(106)	266	62
31	Children's Services	1,538	897	912	15	1,563	25	0	15	0	25
32	CHC Hosted Services	1,404	819	819	(0)	1,342	(62)	(30)	30	(0)	(62)
33	<b>Total Continuing Healthcare</b>	<b>25,940</b>	<b>15,132</b>	<b>15,149</b>	<b>18</b>	<b>26,232</b>	<b>291</b>	<b>78</b>	<b>(61)</b>	<b>266</b>	<b>25</b>
34	<b>Total Commissioning Costs</b>	<b>389,486</b>	<b>225,289</b>	<b>227,823</b>	<b>2,534</b>	<b>392,643</b>	<b>3,157</b>	<b>2,145</b>	<b>389</b>	<b>2,986</b>	<b>171</b>
<b>Prescribing &amp; Primary Care</b>											
35	Practice Prescribing	43,965	25,705	25,444	(261)	44,212	248	(162)	(99)	437	(189)
36	Other Prescribing Costs	3,397	1,874	1,748	(126)	3,254	(144)	(153)	27	(202)	59
37	Primary Care Other	11,420	6,726	6,522	(203)	11,231	(189)	(165)	(38)	(167)	(22)
38	Primary Care Co-Commissioning	46,436	26,005	26,005	(0)	46,436	(0)	0	(0)	(0)	(0)
39	<b>Total Prescribing &amp; Primary Care</b>	<b>105,218</b>	<b>60,309</b>	<b>59,720</b>	<b>(590)</b>	<b>105,133</b>	<b>(85)</b>	<b>(479)</b>	<b>(110)</b>	<b>68</b>	<b>(153)</b>
40	<b>Running Costs</b>	<b>7,664</b>	<b>4,471</b>	<b>3,994</b>	<b>(476)</b>	<b>7,132</b>	<b>(532)</b>	<b>(404)</b>	<b>(72)</b>	<b>(532)</b>	<b>(0)</b>
<b>Other Contracts</b>											
41	Better Care Fund	12,886	7,517	7,515	(1)	12,883	(2)	(0)	(1)	(0)	(2)
42	Other Contracting	8,318	4,851	4,775	(77)	8,162	(155)	(71)	(6)	(139)	(16)
43	NHS Property Services	1,687	984	984	0	1,687	0	0	0	0	0
44	<b>Total Other Contracts</b>	<b>22,890</b>	<b>13,352</b>	<b>13,274</b>	<b>(78)</b>	<b>22,733</b>	<b>(158)</b>	<b>(71)</b>	<b>(8)</b>	<b>(139)</b>	<b>(18)</b>
<b>New Investments, Reserves &amp; Contingency</b>											
45	New Investments	0	0	0	0	0	0	0	0	0	0
46	Contingency	2,382	1,390	0	(1,390)	0	(2,382)	(1,191)	(199)	(2,382)	0
47	1% Non Recurrent Reserve	0	0	0	0	0	0	0	0	0	0
48	<b>Total New Investments, Reserves &amp; Contingency</b>	<b>2,382</b>	<b>1,390</b>	<b>0</b>	<b>(1,390)</b>	<b>0</b>	<b>(2,382)</b>	<b>(1,191)</b>	<b>(199)</b>	<b>(2,382)</b>	<b>0</b>
49	<b>Total Other Contracting &amp; Reserves</b>	<b>25,272</b>	<b>14,742</b>	<b>13,274</b>	<b>(1,468)</b>	<b>22,733</b>	<b>(2,540)</b>	<b>(1,262)</b>	<b>(206)</b>	<b>(2,521)</b>	<b>(18)</b>
50	<b>Total Expenditure</b>	<b>527,640</b>	<b>304,811</b>	<b>304,812</b>	<b>0</b>	<b>527,640</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
50	<b>Planned Surplus</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
51	<b>Net Position</b>	<b>527,640</b>	<b>304,811</b>	<b>304,812</b>	<b>0</b>	<b>527,640</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

# Surrey Downs Financial Position

Surrey Downs CCG

Board Summary Expenditure Summary for Month 7 ending October 2019	Annual Budget	M7 YTD			M7 FOT		Prior Month YTD		Prior Month FOT	
		M7 Budget	M7 Actual	M7 Over / (Underspend)	M7 Forecast Outturn	M7 Forecast Over/(Under spend)	Over / (Underspend)	Movement (M6- M7)	Over / (Underspend)	Movement (M6- M7)
<b>General and Acute Commissioning</b>										
Epsom/St Helier NHST	115,191	67,211	67,070	(142)	115,117	(74)	(99)	(43)	(99)	25
Kingston Hospital FT	31,830	18,626	18,483	(143)	31,878	48	(29)	(115)	(87)	134
Surrey/Sussex HC NHST	19,808	11,596	12,190	594	21,137	1,329	671	(77)	1,329	0
St Georges FT	12,787	7,459	7,013	(446)	12,414	(374)	(143)	(303)	(143)	(231)
Royal Surrey County	7,621	4,446	4,227	(218)	7,606	(15)	(209)	(9)	(30)	15
Ashford/St Peters	5,700	3,336	3,780	444	6,303	603	343	101	486	116
Royal Marsden	5,819	3,394	3,519	125	5,893	74	32	93	(14)	88
Other Acute NHS	13,633	7,669	7,896	226	13,088	(545)	165	61	(450)	(96)
South East Coast Ambulance	10,919	6,255	6,345	90	11,191	272	86	4	172	100
Acute Non-NHS (Private Providers)	15,189	8,861	9,122	261	16,006	817	342	(81)	866	(50)
High Cost Drugs	3,932	2,293	2,318	25	3,956	25	51	(26)	0	25
Planned Care	3,944	2,301	2,295	(6)	3,919	(25)	(20)	14	(75)	51
NCA/Cost per case	4,947	2,886	2,881	(5)	4,945	(3)	18	(22)	18	(21)
Unidentified QIPP	(20,042)	(11,691)	(2,042)	9,649	(19,663)	379	8,271	1,378	379	0
<b>General and Acute Commissioning Total</b>	<b>231,279</b>	<b>134,642</b>	<b>145,097</b>	<b>10,455</b>	<b>233,790</b>	<b>2,510</b>	<b>9,480</b>	<b>976</b>	<b>2,352</b>	<b>158</b>
<b>Mental Health</b>										
Surrey & Borders Adult MH & LD Contract	21,464	12,520	12,520	0	21,464	0	(0)	0	0	0
Mental Health S117/TCP	2,069	1,207	1,268	61	2,144	75	15	46	(14)	89
CAMHs Services	3,682	2,148	2,149	2	3,693	11	6	(4)	15	(4)
IAPT	3,029	1,767	1,557	(209)	2,781	(248)	(157)	(53)	(209)	(38)
Other Mental Health Services	2,973	1,734	1,488	(246)	2,571	(402)	(208)	(38)	(389)	(13)
<b>Mental Health Total</b>	<b>33,216</b>	<b>19,376</b>	<b>18,983</b>	<b>(392)</b>	<b>32,652</b>	<b>(564)</b>	<b>(344)</b>	<b>(49)</b>	<b>(597)</b>	<b>33</b>
<b>Community Services Commissioning</b>										
Other Community Services	3,912	2,282	2,248	(34)	3,855	(57)	23	(57)	19	(76)
Voluntary Sector Contracts	1,271	741	713	(28)	1,241	(30)	(16)	(12)	(19)	(11)
Surrey Downs Health and Care Partnership	22,884	13,349	13,349	0	22,884	0	0	0	0	0
Central Surrey Health	835	487	355	(132)	709	(126)	(8)	(124)	(78)	(48)
<b>Community Services Commissioning Total</b>	<b>28,901</b>	<b>16,859</b>	<b>16,665</b>	<b>(194)</b>	<b>28,687</b>	<b>(213)</b>	<b>(1)</b>	<b>(192)</b>	<b>(78)</b>	<b>(135)</b>
<b>Continuing Healthcare</b>										
Adult Continuing Healthcare and FNC	20,495	11,955	11,893	(62)	20,393	(102)	(56)	(6)	0	(102)
CHC Hosted Service	1,246	639	692	53	1,246	0	(0)	53	0	(0)
Childrens Continuing Healthcare	1,733	1,011	1,088	77	1,865	132	0	77	0	132
<b>Continuing Healthcare Total</b>	<b>23,474</b>	<b>13,605</b>	<b>13,673</b>	<b>67</b>	<b>23,504</b>	<b>30</b>	<b>(56)</b>	<b>124</b>	<b>0</b>	<b>30</b>
<b>Prescribing and Primary Care</b>										
Practice Prescribing	37,108	21,776	22,014	238	37,883	775	245	(8)	775	(0)
Primary Care Other	6,892	4,020	3,792	(229)	6,665	(227)	7	(236)	5	(232)
GPV	1,761	1,027	1,027	0	1,761	0	0	(0)	0	0
Other Prescribing Costs	2,352	1,376	1,433	57	2,469	117	(11)	68	(23)	140
Primary Care Co-Commissioning	37,909	22,114	22,113	(0)	37,909	(0)	(0)	(0)	0	(0)
<b>Prescribing and Primary Care Total</b>	<b>86,022</b>	<b>50,313</b>	<b>50,379</b>	<b>66</b>	<b>86,687</b>	<b>665</b>	<b>241</b>	<b>(175)</b>	<b>758</b>	<b>(92)</b>
<b>Running Costs</b>										
Running Costs	6,434	3,753	3,460	(294)	5,943	(491)	(247)	(47)	(490)	(2)
<b>Running Costs Total</b>	<b>6,434</b>	<b>3,753</b>	<b>3,460</b>	<b>(294)</b>	<b>5,943</b>	<b>(491)</b>	<b>(247)</b>	<b>(47)</b>	<b>(490)</b>	<b>(2)</b>
<b>Other Contracts</b>										
Other Contracting	3,774	2,201	2,286	85	3,896	123	96	(11)	165	(42)
Better Care Fund	11,444	6,675	6,672	(4)	11,437	(6)	(3)	(1)	(6)	0
NHS Property Services	3,244	1,892	1,892	0	3,244	(0)	0	0	(0)	(0)
Other Programme Costs	2,269	1,323	1,491	167	2,589	320	160	8	383	(63)
<b>Other Contracts Total</b>	<b>20,730</b>	<b>12,093</b>	<b>12,341</b>	<b>248</b>	<b>21,167</b>	<b>437</b>	<b>252</b>	<b>(4)</b>	<b>542</b>	<b>(105)</b>
<b>New Investments, Reserves &amp; Contingency</b>										
Investments	4,914	2,866	2,587	(280)	4,530	(383)	(248)	(31)	(496)	113
Contingency	1,990	1,161	0	(1,161)	0	(1,990)	(995)	(166)	(1,990)	0
<b>New Investments, Reserves &amp; Contingency Total</b>	<b>6,904</b>	<b>4,027</b>	<b>2,587</b>	<b>(1,441)</b>	<b>4,530</b>	<b>(2,373)</b>	<b>(1,243)</b>	<b>(197)</b>	<b>(2,487)</b>	<b>113</b>
<b>Total Expenditure</b>	<b>436,959</b>	<b>254,668</b>	<b>263,184</b>	<b>8,516</b>	<b>436,959</b>	<b>0</b>	<b>8,081</b>	<b>435</b>	<b>0</b>	<b>0</b>
<b>Planned surplus</b>										
Planned surplus	320	187	0	(187)	0	(320)	(160)	(27)	(320)	0
<b>Planned Surplus</b>	<b>320</b>	<b>187</b>	<b>0</b>	<b>(187)</b>	<b>0</b>	<b>(320)</b>	<b>(160)</b>	<b>(27)</b>	<b>(320)</b>	<b>0</b>
<b>Grand Total</b>	<b>437,279</b>	<b>254,854</b>	<b>263,184</b>	<b>8,329</b>	<b>436,959</b>	<b>(320)</b>	<b>7,921</b>	<b>408</b>	<b>(320)</b>	<b>0</b>



## APPENDIX B East Surrey CCG Month 7 Risks and Mitigations

	Area	Headline detail	Level of Risk outside of forecast		
			Worse	Likely	Best
1	FOT Cost Pressure	Underlying over performance and underachievement of efficiencies where schemes are operational.	5,888	5,888	5,888
2	Acute Contract Over Performance	Difference between CSU M6 flex SaSH FOT and SaSH M6 day 5 FOT used for LTP submission.	3,148	3,148	3,148
3	Efficiency "Black"	Black schemes are those identified schemes that have since been deemed unable to achieve any savings in 2019/20. These include £1.7m MH rebasing and £0.75m running cost savings	3,577	3,577	3,577
4	Efficiency "Delivery Risk"	This is the risk assessment against the current forecast QIPP delivery.	227	227	227
5	MHIS Over Commitment	This is the over-commitment of investment in mental health over and above the MHIS. This is driven by increases in IAPTs and the introduction of Core 24 at SaSH from 1st April.	967	967	967
6	GP Prescribing	Continued / increased cost pressure from NSCO drugs (£0.4m), Category M (£0.4m) and freestyle libre (£0.2m)	1,012	1,012	1,012
7	Joint Recovery Programme	This is the SaSH system efficiency target (was £7m across the 3 CCGs in SES STP North Place) and represents the difference between SaSH acute contract income growth for 19/20 and limiting this to CCG allocation growth.	3,131	3,131	3,131
<b>Total Risk</b>			<b>17,950</b>	<b>17,950</b>	<b>17,950</b>
Item	Mitigations	Detail	Worse	Likely	Best
1	Contingency	Release of CCG contingency	1,338	1,338	1,338
2	GP Prescribing	Worse case is £0.2m in freestyle libre support, likely and best cases assume increasing benefits of pregablin court case (non-recurrent)	210	799	1,388
3	MHIS Over Commitment	Assumes CORE 24 funding of c£250k (with HWLH CCG) and slippage against CORE 24 implementation.	333	418	418
4	Reserves/ Investments	Differing impact of withholding winter resilience funding from investment in the system.	0	405	809
5	Risk Share	Differing assumptions on reaching an agreement with SaSH over acute contract overperformance of £3.148m above.	0	787	1,574
6	Transactional Mitigation	Differing assumptions re success of obtaining allocation funding around Downlands Surgery patient movement from Croydon into East Surrey.	0	700	2,500
<b>Total Mitigation</b>			<b>1,881</b>	<b>4,446</b>	<b>8,027</b>
<b>Net (Risk) / Benefit</b>			<b>-16,069</b>	<b>-13,504</b>	<b>-9,923</b>

## Guildford and Waverley CCG Month 7 Risks and Mitigations

			Level of Risk outside of forecast		
Area	Headline detail	Worse	Likely	Best	
1	CCG Efficiency plans	Unidentified QIPP within CCG Plan	6,800	6,800	6,800
2	Joint Recovery Plan	Ability to release savings as part of the Joint FRP with the RSCH	2,600	2,600	2,600
3	Acute contract in year over performance - Main provider	Trust unable to meet CT requiring additional commissioner funding as per contract agreement in place	1,500	1,300	0
4	Acute contract in year over performance - Outside Main provider	Contract management of non block acute contracts	1,300	1,000	0
5	Medicines Management	Delivery of the budget position for Medicines Management - Ability to manage in year cost pressures and activity	400	0	0
6	Acute IR risk on Specialist Services	Acute Specialist Services risk on Identification Rules	900	0	0
7	Mental Health	Cost pressures above block	500	0	0
<b>Total Risk</b>			<b>14,000</b>	<b>11,700</b>	<b>9,400</b>
Item	Mitigations	Detail	Worse	Likely	Best
1	Benefits from 1819	Benefits brought forward from 18/19 - year end	1,036	1,036	1,036
2	FRP actions / other mitigating actions delivered	Other FRP actions / Mitigations sought to deliver against Joint FRP	2,600	2,600	2,600
<b>Total Mitigation</b>			<b>3,636</b>	<b>3,636</b>	<b>3,636</b>
<b>Net (Risk) / Benefit</b>			<b>-10,364</b>	<b>-8,064</b>	<b>-5,764</b>

## North West Surrey CCG Month 7 Risks and Mitigations

	Area	Headline detail	Level of Risk outside of forecast		
			Worse	Likely	Best
1	Acute Contract Over Performance	Historical analysis of acute contracts has shown year on year over performance	2,000	1,550	1,000
2	GP Prescribing	Continued / increased cost pressure from NSCO drugs, and in year over performance / QIPP under delivery	500	500	0
3	IR Specialist Rules	Impact of specialist activity transfer back to CCGs	920	0	0
4	CAMHS	Risk of additional costs in relation to Children's Mental Health contract with Surrey & Borders NHS Foundation Trust as a result of the number of patients awaiting assessment and subsequent treatment in 19/20	567	567	567
<b>Total Risk</b>			<b>3,987</b>	<b>2,617</b>	<b>1,567</b>

Item	Mitigations	Detail	Worse	Likely	Best
1	Delayed Investment into Hubs	Slippage on investment into locality hubs	250	250	250
<b>Total Mitigation</b>			<b>250</b>	<b>250</b>	<b>250</b>

<b>Net (Risk) / Benefit</b>	<b>-3,737</b>	<b>-2,367</b>	<b>-1,317</b>
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<b>Operating Plan Surplus</b>	<b>0</b>	<b>0</b>	<b>0</b>
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<b>Risk Adjusted Deficit</b>	<b>-3,737</b>	<b>-2,367</b>	<b>-1,317</b>
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## Surrey Downs CCG Month 7 Risks and Mitigations

	Area	Headline detail	Level of Risk outside of forecast		
			Worse	Likely	Best
1	Acute Contract Over Performance & QIPP	Historical analysis of acute contracts has shown year on year over performance	3,010	2,489	510
2	GP Prescribing	Continued / increased cost pressure from NSCO drugs, and in year over performance / QIPP under delivery	500	500	0
3	IR Specialist Rules	Impact of specialist activity transfer back to CCGs	1,000	0	0
4	CAMHS	Risk of additional costs in relation to Children's Mental Health contract with Surrey & Borders NHS Foundation Trust as a result of the number of patients awaiting assessment and subsequent treatment in 19/20	400	400	400
7	Joint Recovery Programme	Financial Recovery Plan being developed across the ICP	3,500	3,500	3,500
8	Unidentified QIPP	Unidentified QIPP within CCG Plan	12,663	12,663	12,663
<b>Total Risk</b>			<b>21,073</b>	<b>19,552</b>	<b>17,073</b>

Item	Mitigations	Detail	Worse	Likely	Best
1	Contingency	Release of CCG contingency	0	0	0
2	Management costs funded	Release of CCG Management costs	0	0	0
3	IHT	IHT Programme Funded from Transition funding	0	0	0
<b>Total Mitigation</b>			<b>0</b>	<b>0</b>	<b>0</b>
<b>Net (Risk) / Benefit</b>			<b>-21,073</b>	<b>-19,552</b>	<b>-17,073</b>

## APPENDIX C East Surrey CCG Balance Sheet

	Balance Sheet As At 31 October 2019 £000s	Balance Sheet As At 30 September 2019 £000s	Movement £000s
<b>Fixed Assets</b>			
Property Plant & Equipment	162	171	(9)
<b>Current Assets</b>			
Debtors	2,689	9,822	(7,133)
Cash at Bank and in hand	2,517	1,836	681
	<b>5,206</b>	<b>11,658</b>	<b>(6,452)</b>
<b>Total Assets</b>	<b>5,368</b>	<b>11,829</b>	<b>(6,461)</b>
<b>Current Liabilities</b>			
Trade and Other Payables	(20,455)	(25,565)	5,110
Provisions	(274)	(260)	(14)
	<b>(20,729)</b>	<b>(25,825)</b>	<b>5,096</b>
<b>Net Current Assets/(Liabilities)</b>	<b>(15,523)</b>	<b>(14,167)</b>	<b>(1,356)</b>
<b>Total Assets Less Current Liabilities</b>	<b>(15,361)</b>	<b>(13,996)</b>	<b>(1,365)</b>
<b>Non-Current Liabilities</b>	(83)	(123)	40
<b>Assets Less Liabilities</b>	<b>(15,444)</b>	<b>(14,119)</b>	<b>(1,325)</b>
<b>Financed By:</b>			
<b>Taxpayers' Equity</b>			
General Fund	15,444	14,119	1,325
<b>Total Taxpayers' Equity</b>	<b>15,444</b>	<b>14,119</b>	<b>1,325</b>

The Statement of Financial Position is prepared to NHS England's reporting requirements and is consistent with the format produced within the CCG's Annual Report and Accounts.

Current liabilities include both invoiced amounts and accruals for amounts not yet invoiced but included within the current reported financial position. Invoiced amounts are due for payment within 30 days of receipt of a valid invoice and will be discharged throughout the following month.

The CCG draws cash on a monthly basis from NHS England against an annual allocation in line with the anticipated cash requirement for that month and NHS England's regulations. The general fund includes cash amounts drawn from NHS England up to the balance sheet date and reflects the cumulative position of cash drawings against the cumulative spend of the CCG.

As cash is drawn monthly and the CCG is required not to hold excessive cash balances, the balance sheet will inherently reflect a negative general fund caused by including current liabilities, but not the cash drawdown for the following month that will be utilised to discharge these liabilities.

## Guildford & Waverley CCG Balance Sheet

	Balance Sheet As At 31 October 2019 £000s	Balance Sheet As At 30 September 2019 £000s	Movement £000s
<b>Fixed Assets</b>			
Property Plant & Equipment	126	126	0
<b>Current Assets</b>			
Debtors	11,982	9,541	2,441
Cash at Bank and in hand	(1,644)	(343)	(1,301)
	<b>10,338</b>	<b>9,198</b>	<b>1,140</b>
<b>Total Assets</b>	<b>10,464</b>	<b>9,324</b>	<b>1,140</b>
<b>Current Liabilities</b>			
Trade and Other Payables	(40,796)	(37,763)	(3,033)
Provisions	(151)	(199)	48
	<b>(40,947)</b>	<b>(37,962)</b>	<b>(2,985)</b>
<b>Net Current Assets/(Liabilities)</b>	<b>(30,609)</b>	<b>(28,764)</b>	<b>(1,845)</b>
<b>Total Assets Less Current Liabilities</b>	<b>(30,483)</b>	<b>(28,638)</b>	<b>(1,845)</b>
<b>Non-Current Liabilities</b>	(154)	(114)	(40)
<b>Assets Less Liabilities</b>	<b>(30,637)</b>	<b>(28,752)</b>	<b>(1,885)</b>
<b>Financed By:</b>			
<b>Taxpayers' Equity</b>			
General Fund	30,637	28,752	1,885
<b>Total Taxpayers' Equity</b>	<b>30,637</b>	<b>28,752</b>	<b>1,885</b>

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As cash is drawn monthly and the CCG is required not to hold excessive cash balances, the balance sheet will inherently reflect a negative general fund caused by including current liabilities, but not the cash drawdown for the following month that will be utilised to discharge these liabilities.

## North West Surrey CCG Balance Sheet

	Balance Sheet As At 31 October 2019 £000s	Balance Sheet As At 30 September 2019 £000s	Movement £000s
<b>Fixed Assets</b>			
Property Plant & Equipment	105	113	(8)
<b>Current Assets</b>			
Debtors	4,864	3,872	992
Cash at Bank and in hand	2,943	6,175	(3,232)
	<b>7,807</b>	<b>10,047</b>	<b>(2,240)</b>
<b>Total Assets</b>	<b>7,912</b>	<b>10,160</b>	<b>(2,248)</b>
<b>Current Liabilities</b>			
Trade and Other Payables	(48,347)	(49,807)	1,460
Provisions	(483)	(450)	(33)
	<b>(48,830)</b>	<b>(50,257)</b>	<b>1,427</b>
<b>Net Current Assets/(Liabilities)</b>	<b>(41,023)</b>	<b>(40,210)</b>	<b>(813)</b>
<b>Total Assets Less Current Liabilities</b>	<b>(40,918)</b>	<b>(40,097)</b>	<b>(821)</b>
<b>Non-Current Liabilities</b>	(143)	(212)	69
<b>Assets Less Liabilities</b>	<b>(41,061)</b>	<b>(40,309)</b>	<b>(752)</b>
<b>Financed By:</b>			
<b>Taxpayers' Equity</b>			
General Fund	41,061	40,309	752
<b>Total Taxpayers' Equity</b>	<b>41,061</b>	<b>40,309</b>	<b>752</b>

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As cash is drawn monthly and the CCG is required not to hold excessive cash balances, the balance sheet will inherently reflect a negative general fund caused by including current liabilities, but not the cash drawdown for the following month that will be utilised to discharge these liabilities.

## Surrey Downs CCG Balance Sheet

	Balance Sheet As At 31 October 2019 £000s	Balance Sheet As At 30 September 2019 £000s	Movement £000s
<b>Fixed Assets</b>			
Property Plant & Equipment	276	620	(344)
<b>Current Assets</b>			
Debtors	6,440	3,697	2,743
Cash at Bank and in hand	9,950	3,145	6,805
	<b>16,390</b>	<b>6,842</b>	<b>9,548</b>
<b>Total Assets</b>	<b>16,666</b>	<b>7,462</b>	<b>9,204</b>
<b>Current Liabilities</b>			
Trade and Other Payables	(51,861)	(47,357)	(4,504)
Provisions	(407)	(386)	(21)
	<b>(52,268)</b>	<b>(47,743)</b>	<b>(4,525)</b>
<b>Net Current Assets/(Liabilities)</b>	<b>(35,878)</b>	<b>(40,901)</b>	<b>5,023</b>
<b>Total Assets Less Current Liabilities</b>	<b>(35,602)</b>	<b>(40,281)</b>	<b>4,679</b>
<b>Non-Current Liabilities</b>	(123)	(183)	60
<b>Assets Less Liabilities</b>	<b>(35,725)</b>	<b>(40,464)</b>	<b>4,739</b>
<b>Financed By:</b>			
<b>Taxpayers' Equity</b>			
General Fund	35,725	40,464	(4,739)
<b>Total Taxpayers' Equity</b>	<b>35,725</b>	<b>40,464</b>	<b>(4,739)</b>

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